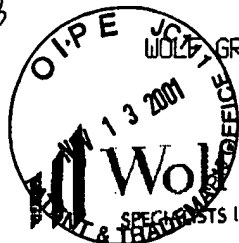


43



WOLF GREENFIELD

Fax: 617 720 2441

Nov 13 2001 18:50

P.02

Wolf Greenfield
SPECIALISTS IN INTELLECTUAL PROPERTY LAW**F A X C O V E R**

Date November 13, 2001 Number of pages (including cover): 15
To United States Patent and Trademark Office, Washington, D.C. 20231
Technology Center 2800
Applicant Kevin J. Dowling, et al.
Serial No: 09/917,246
Filing Date: July 27, 2001
Title: SYSTEMS AND METHODS FOR COLOR CHANGING DEVICE AND ENCLOSURE
Art Unit: 2821
Fax Number: 1-703-872-9317
Number of Pages (including Cover Sheet): 15

ORIGINAL DOCUMENTS SENT: ☐ 1st Class Mail ☐ Overnight Mail ☐ Air Mail ☐ Not Sent**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that a Transmittal Letter, a Response to the Notice to File Missing Parts, a Copy of Notice to File Missing Parts of Nonprovisional Application, a Declaration for Patent Application, and a Preliminary Amendment (a total of 15 pages including cover sheet) are being facsimile transmitted to the United States Patent and Trademark Office, Technology Center 2800, in accordance with 37 C.F.R. §1.6(d) to Fax No. 703-872-9317 on the date shown below.

Joseph Teja, Jr.Typed or Printed Name of Person
Signing CertificationDate: November 13, 2001

This transmission contains confidential information intended for use only by the above-named recipient. Reading, discussion, distribution, or copying of this message is strictly prohibited by anyone other than the named recipient, or his or her employees or agents. If you have received this fax in error, please immediately notify us by telephone (collect), and return the original message to us at the above address via the U.S. Postal Service.

IF YOU DID NOT RECEIVE ALL OF THE PAGES OF THIS TRANSMISSION OR IF ANY OF THE PAGES ARE ILLEGIBLE, PLEASE CALL IMMEDIATELY AT (617) 720-3500

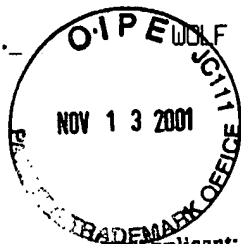
WG&S File Number: C01104/70074

IF YOU DID NOT RECEIVE ALL OF THE PAGES OF THIS TRANSMISSION OR IF ANY OF THE PAGES ARE ILLEGIBLE, PLEASE CALL 617.720.3500 IMMEDIATELY.

Wolf Greenfield Fax Number: 617.720.2441

Wolf, Greenfield & Sacks, P.C. | 600 Atlantic Avenue | Boston, Massachusetts 02210-2206
617.720.3500 | fax 617.720.2441 | www.wolfgreenfield.com

PATENTS TRADEMARKS COPYRIGHTS TECHNOLOGY TRANSFERS LITIGATION



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P.03

ATTORNEY'S DOCKET NO: C01104/70074

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling, et al.
Serial No: 09/917,246
Filed: July 27, 2001
For: SYSTEMS AND METHODS FOR COLOR CHANGING DEVICE AND ENCLOSURE
Examiner: Unassigned
Art Unit: 2821

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being facsimile transmitted in accordance with 37 C.F.R. §1.6(d) to the Commissioner for Patents at the United States Patent and Trademark Office, Technology Center 2800, Customer Service Center, fax number 1-703-872-9317, on the 13th day of November, 2001.

Janine Michalski
Janine Michalski

BOX: MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing are the following documents:

- [X] RESPONSE TO THE NOTICE TO FILE MISSING PARTS
- [X] COPY OF NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
- [X] DECLARATION FOR PATENT APPLICATION
- [X] PRELIMINARY AMENDMENT
- [X] RETURN POSTCARD

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

Please charge the fee of \$435.00 for payment of the basic filing fee and the surcharge to our Deposit Account No. 23/2825.

Please credit any overpayment or charge any deficiency in the enclosed fees to Deposit Account No. 23/2825.

Respectfully submitted,
Kevin J. Dowling, et al.

By:

Joseph Teja
Richard E. Giunta, Reg. No. 36,149
Joseph Teja, Jr., Reg. No. 45,157
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Tel. (617) 720-3500

Docket No. C01104/70074
Dated: November 13, 2001
X (11/13/01)

575233.1



WOLF GREENFIELD

Fax: 6177202441

Nov 13 2001 18:51 P.04

#13

ATTORNEY'S DOCKET NO: C01104/70074

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: K vin J. Dowling, et al.
Serial No: 09/917,246
Filed: July 27, 2001
For: SYSTEMS AND METHODS FOR COLOR CHANGING DEVICE AND ENCLOSURE

Examiner: Unassigned
Art Unit: 2821

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being facsimile transmitted in accordance with 37 C.F.R. §1.6(d) to the Commissioner for Patents at the United States Patent and Trademark Office, Technology Center 2800, Customer Service Center, fax number 1-703-872-9317, on the 13th day of November, 2001.

Janine Michalski
Janine Michalski

BOX: MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RESPONSE TO THE NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts dated September 11, 2001, Applicants respectfully submit for filing an original document entitled Declaration for Patent Application. Applicants submit this Response on November 13 because November 11 was a Sunday and November 12 was a Holiday.

Please charge the fee of \$435.00 for payment of the basic filing fee and the surcharge to our Deposit Account No. 23/2825. Please credit any overpayment or charge any deficiency in the enclosed fees to Deposit Account No. 23/2825.

Respectfully submitted,
Kevin J. Dowling, et al.

By:

Richard F. Giunta
Richard F. Giunta, Reg. No. 36,149
Joseph Teja, Jr., Reg. No. 45,157
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600 Atlantic Avenue
Boston, MA 02210
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Docket No. C01104/70074
Dated: November 13, 2001

575221.1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/917,246	07/27/2001	Kevin J. Dowling	C01104/70074

23628
WOLF GREENFIELD & SACKS, PC
FEDERAL RESERVE PLAZA
600 ATLANTIC AVENUE
BOSTON, MA 02210-2211

CONFIRMATION NO. 8927
FORMALITIES LETTER
OC000000008540951

Date Mailed: 09/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/06/2001 ETULU1 00000002 232825 09917246

01 FC:201 370.00 CH
02 FC:205 65.00 CH